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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|--|-------------|----------------------|---------------------|------------------|--|
| 10/065,326   | 10/03/2002  | Steven Curtis Zicker | 7017-00-HL          | 9314             |  |
| 23909 7590 07729/2011<br>COLGATE-PALMOLIVE COMPANY<br>909 RIVER ROAD |             |                      | EXAMINER            |                  |  |
|  |             |                      | KIM, JENNIFER M     |                  |  |
| PISCATAWA'   | Y, NJ 08855 |                      | ART UNIT            | PAPER NUMBER     |  |
|  |             |                      | 1628                |                  |  |
|  |             |                      |                     |                  |  |
|  |             |                      | MAIL DATE           | DELIVERY MODE    |  |
|  |             |                      | 07/29/2011          | PAPER            |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

| Application No. | Applicant(s)  | - |
|-----------------|---------------|---|
| 10/065,326      | ZICKER ET AL. |   |
| Examiner        | Art Unit      |   |
| JENNIFER M. KIM | 1628          |   |

|   | JENNIFER M. KIM   | 1628   |             |  |  |  |
|---|---|--|-------------|--|--|--|
| The MAILING DATE of this communication appe   | ears on the cover sheet with the c  | orrespondence ad   | dress       |  |  |  |
| Period for Reply  |   |  |             |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA Extensions of time may be available under the provisions of 37 OFP1.134 after SX (6) MONTHS from the mailing date of this communication.  Failure to reply whint the sax or extended protein for reply will, by statute, Any reply received by the Office later than these months after the mailing earned pattern term adjustment. See 37 OFFI 1.704(b). | TE OF THIS COMMUNICATION 6(a). In no event, however, may a reply be tim Il apply and will expire SIX (6) MONTHS from- cause the application to become ABANDONE! | I.<br>ely filed<br>the mailing date of this or<br>D (35 U.S.C. § 133). |             |  |  |  |
| Status  |   |  |             |  |  |  |
| 1) Responsive to communication(s) filed on 27 Jul   | lv 2010.  |  |             |  |  |  |
|   | action is non-final.  |  |             |  |  |  |
|   |   | secution as to the   | merits is   |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the m closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |   |  |             |  |  |  |
| Disposition of Claims   | , , , .   |  |             |  |  |  |
| · <u> </u>  | atlan   |  |             |  |  |  |
|   | <ul> <li>4) Claim(s) 1 and 3-11 is/are pending in the application.</li> <li>4a) Of the above claim(s) 8 is/are withdrawn from consideration.</li> </ul>         |  |             |  |  |  |
| 5) Claim(s) is/are allowed.   | III Consideration.  |  |             |  |  |  |
| 6) Claim(s) <u>1, 3-7 and 9-11</u> is/are rejected.   |   |  |             |  |  |  |
|   |   |  |             |  |  |  |
| 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or   | election requirement  |  |             |  |  |  |
| o) are subject to restriction and/or  | election requirement.   |  |             |  |  |  |
| Application Papers  |   |  |             |  |  |  |
| 9) The specification is objected to by the Examiner   |   |  |             |  |  |  |
| 10) The drawing(s) filed on is/are: a) acce   | pted or b) objected to by the E   | Examiner.  |             |  |  |  |
| Applicant may not request that any objection to the d   | rawing(s) be held in abeyance. See  | 37 CFR 1.85(a).  |             |  |  |  |
| Replacement drawing sheet(s) including the correction   | on is required if the drawing(s) is obj   | ected to. See 37 Ci  | R 1.121(d). |  |  |  |
| 11) The oath or declaration is objected to by the Exa   | aminer. Note the attached Office  | Action or form PT  | O-152.      |  |  |  |
| Priority under 35 U.S.C. § 119  |   |  |             |  |  |  |
| 12) ☐ Acknowledgment is made of a claim for foreign p<br>a) ☐ All b) ☐ Some * c) ☐ None of:   | oriority under 35 U.S.C. § 119(a)   | -(d) or (f).   |             |  |  |  |
| 1.☐ Certified copies of the priority documents  | have been received.   |  |             |  |  |  |
| 2. Certified copies of the priority documents   |   | on No  |             |  |  |  |
| Copies of the certified copies of the priori  |   |  | Stage       |  |  |  |
| application from the International Bureau   | •   |  | Ü           |  |  |  |
| * See the attached detailed Office action for a list of   |   | d.   |             |  |  |  |
|   |   |  |             |  |  |  |
| Attachment(s)   |   |  |             |  |  |  |
| Notice of References Cited (PTO-892)     Notice of Profigure or is Solved Figuring Floriday (FTO-848)   | Interview Summary     Pater No(s)/Mail Da   |  |             |  |  |  |

| 1) L | Notice of | f References Cited (PTO-892)                |
|------|-----------|---|
| 31   | Noticen   | (Draftenstein's Patent Praviry Review (PTO) |

Information Disclosure Statement(s) (PTO/SB/08)
 Paper No(s)/Mail Date \_\_\_\_\_\_.

Notice of Informal Patent Application
 Other: \_\_\_\_\_.

### DETAILED ACTION

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on July 27, 2010 has been entered.

## Claim Rejections - 35 USC § 103

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claims 1, 3-7 and 9-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ishihara et al (U.S.Patent No. 6,279,280 B1) of in view of Reisbick et al of record.

Ishihara et al claimed a composition for suppressing behavior problems of pets, comprising one or more compounds including eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA) (see claims 1 and 2). Ishihara et al claimed the dosages of EPA and DHA per administration is 0.2 to 80mg per one kilogram of the body weight of the pet (see claim 4). Ishihara et al claimed that the pet to be treated can be dogs or cats (see claim 5). Ishihara et al teach that the dogs and cats are preferable for the use of the composition (column 3 lines 43-47). Ishihara et al claimed the behavior problem

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to be treated including attack, destruction, inappropriate elimination, licking its paw repeatedly, scratching, cryptogenic astasia, shivering, astasia, pray, scratching cryptogenic astasia, shivering, abnormal appestat associated with dynamia, aberrant motor behavior, dysbasia, abnormal sense, abnormal posture, abnormal vocalizing, loss of feeling, loss of interrrelation and abnormal situation judgment (see claim 6). Ishihara et al additionally disclose the behavior problems on column 3 line 50-column 4 line 14. Ishihara et al teach that the composition can be administered orally as a pet food (column 4 lines 29-40). It is noted that there is no indication that the cats and dogs disclosed by Ishihara et al are suffering from cancer or arthritis.

Ishihara et al do not expressly teach the percentage of DHA and/EPA set forth in claims 1, 9 and 10 and the age of pets set forth in claims 3, 4 and 7.

Reisbick et al. teach that dietary deficiency and supplementation of omega-3 fatty acids have been associated with several effects on behavior. (page 419, summary). Reisbick et al. teach that a decrease in dopamine and/or dopamine receptors in the prefrontal cortex of deficient animals increase their responsivity to environmental stimuli. Reisbick et al. teach that DHA levels will correlate simultaneously with both levels of dopamine and Dopamine2 receptors and certain behaviors. (page 419).

It would have been obvious to one of ordinary skill in the art to optimize the dosage of DHA and EPA in Ishihara's composition for the moderating the behavior of a dog or cats because DHA and EPA are known to be useful for suppressing behavior problems of pets as claimed by Ishihara and because Reisbick et al teach that omega-3 fatty acids effects behavior of animal particular DHA content. One would have been

motivated to optimize the dosage of DHA and EPA in Ishihara's composition in order to achieve an expected therapeutic benefit in influencing behavior in animal as claimed by Ishihara and the known knowledge at the time the invention was made that omega 3-fatty acids influencing animal's behavior. Further, one of ordinary skill in the art would be motivated to employ the composition taught by Ishihara regardless of the age of dogs or cats as long as there is a behavior modification is needed without surprising

For these reasons the claimed subject matter is deemed to fail to patentably distinguish over the state of the art as represented by the cited references. The claims are therefore properly rejected under 35 U.S.C. 103.

None of the claims are allowed.

and unexpected results in the age group.

### Response to Arguments

Applicant's arguments with respect to claims 1, 3-7, 9 and 11 have been considered but are moot in view of the new ground(s) of rejection.

#### Communication

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JENNIFER M. KIM whose telephone number is (571)272-0628. The examiner can normally be reached on Monday through Friday 6:30 am to 3 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brandon Fetterolf can be reached on 571-272-2919. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JENNIFER M KIM/ Primary Examiner, Art Unit 1628

Jmk July 22, 2011